

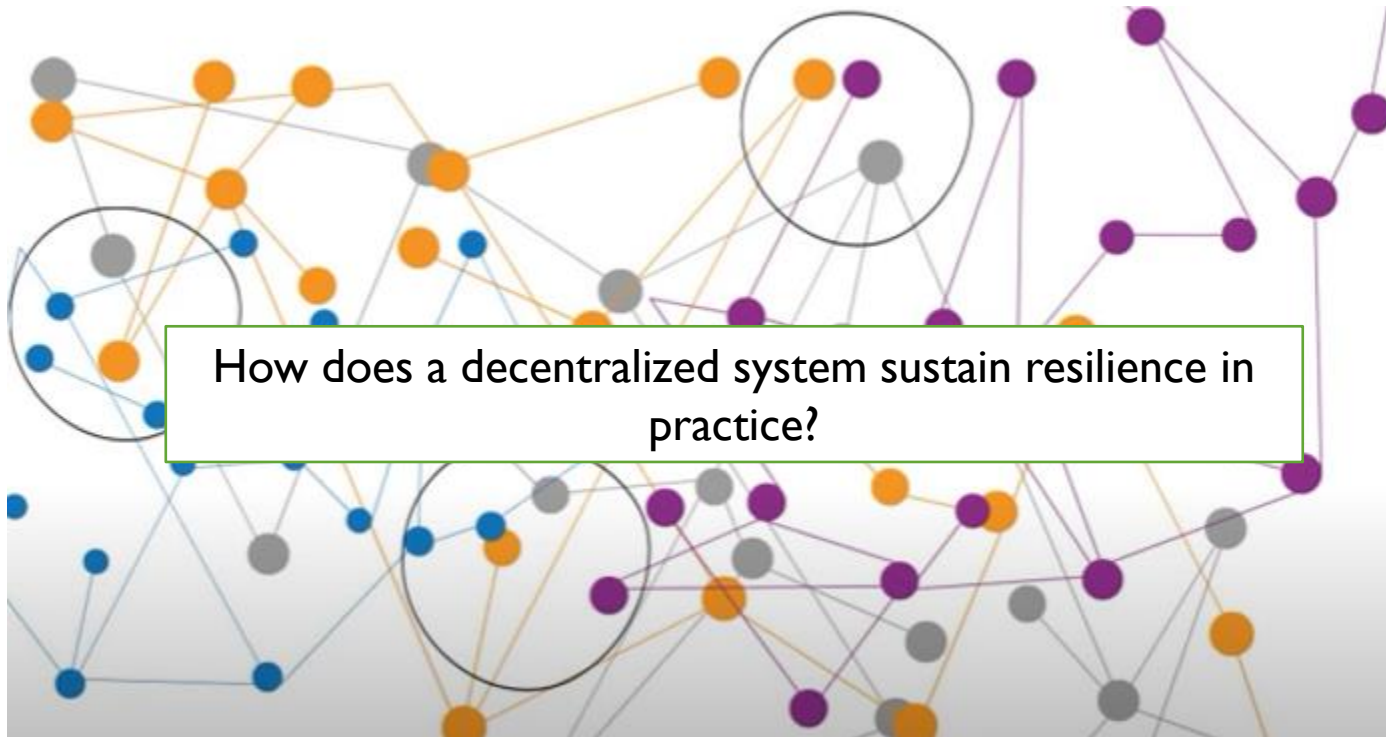
**DECENTRALIZATION REFORM AND SECURITY IN
UKRAINE IN LIGHT OF POLYCENTRICITY THEORY**

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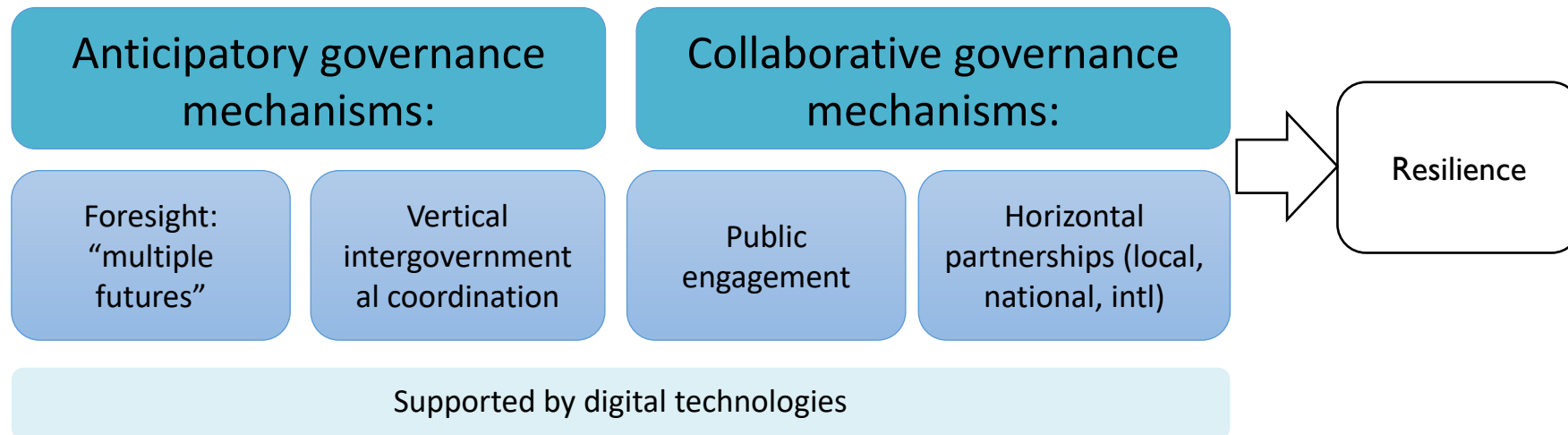
POLYCENTRICITY



Based on Elinor Ostrom's work

COMPONENTS OF RESILIENCE: ANALYTICAL FRAMEWORK

- Survey questions addressed resilience and supportive governance mechanisms according to this framework:



METHODOLOGY

- Survey initiated by the **Congress of Local and Regional Authorities of the Council of Europe and Association of Ukrainian Cities**
 - 7 Interviews and 2 Focus Groups (LA, Associations, Parliament committee, and Min Regio reps)
 - Online survey via AUC, Association of Hromadas, Congress Delegation
 - Data collection: July – September (survey 30.08.2022 – 20.09.2022)
 - 241 responses (17% LAs in UA), among them:
 - Rural 53% (128)
 - Urban 47% (113) Liberated hromadas & hromadas outside combat area – 204, under occupation or at the frontline – 37. Unless mentioned, all data refers to 204 hromadas
- Results are driven by urban and rural communities of 50,000 and fewer residents

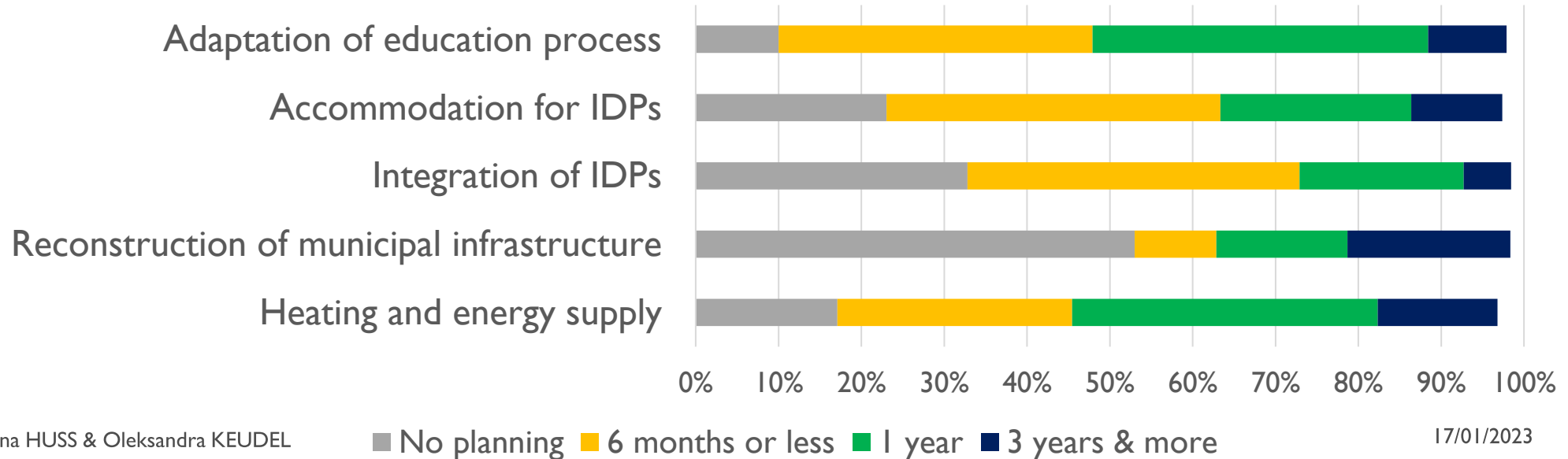
PLANNING SUPPORTS EMERGENCY RESPONSE BUT SHORT-SIGHTED

- Majority of LAs (92%) had **emergency plans** and 69% found them helpful
- **Scenario planning** was helpful for 50%

BUT

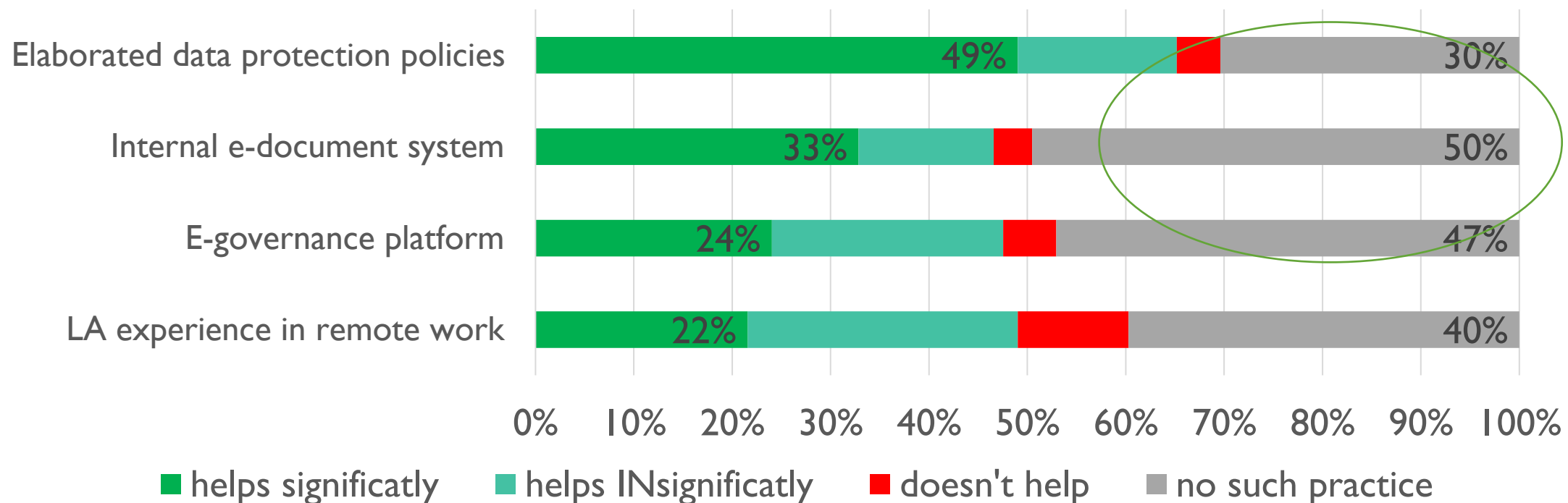
- Short planning horizon into the future: 6 months (ca. 40%)

Question: *For what time period does the local authority do planning regarding the following issues?*

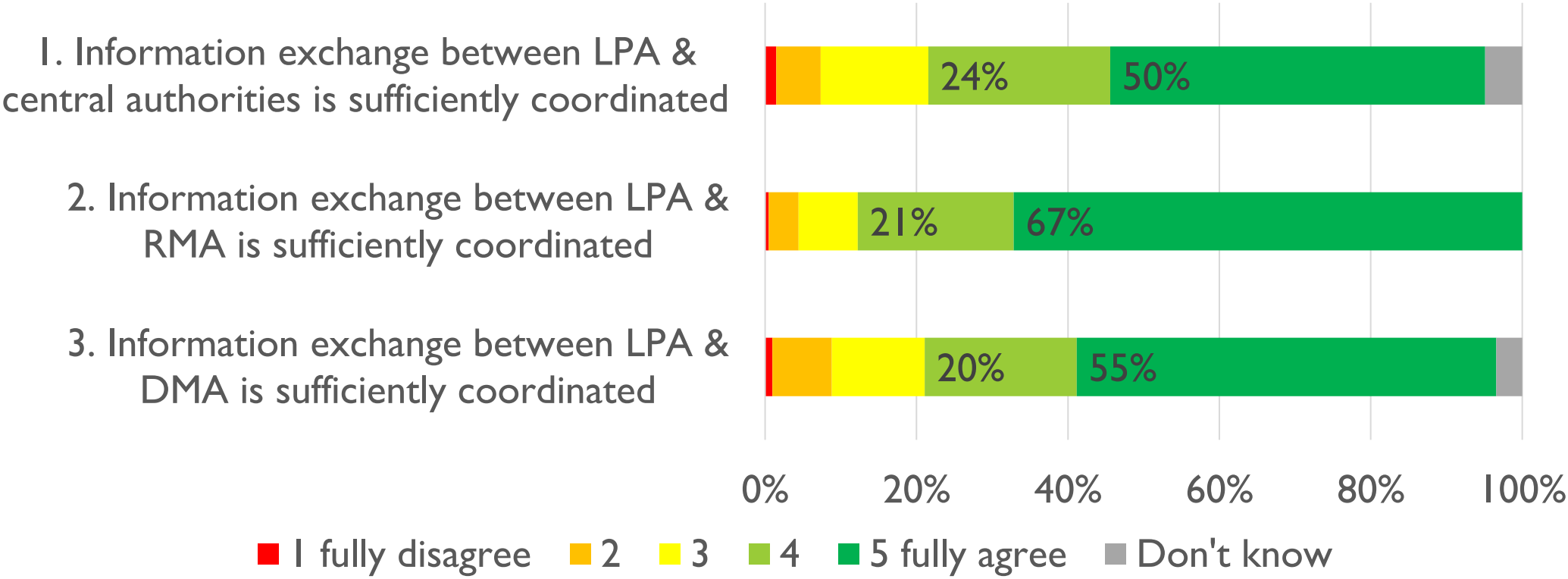


E-GOVERNANCE SUPPORTS EMERGENCY RESPONSE BUT MANY DON'T HAVE IT

Question: Which of the following helps your community respond to the challenges of the war times and how significantly?



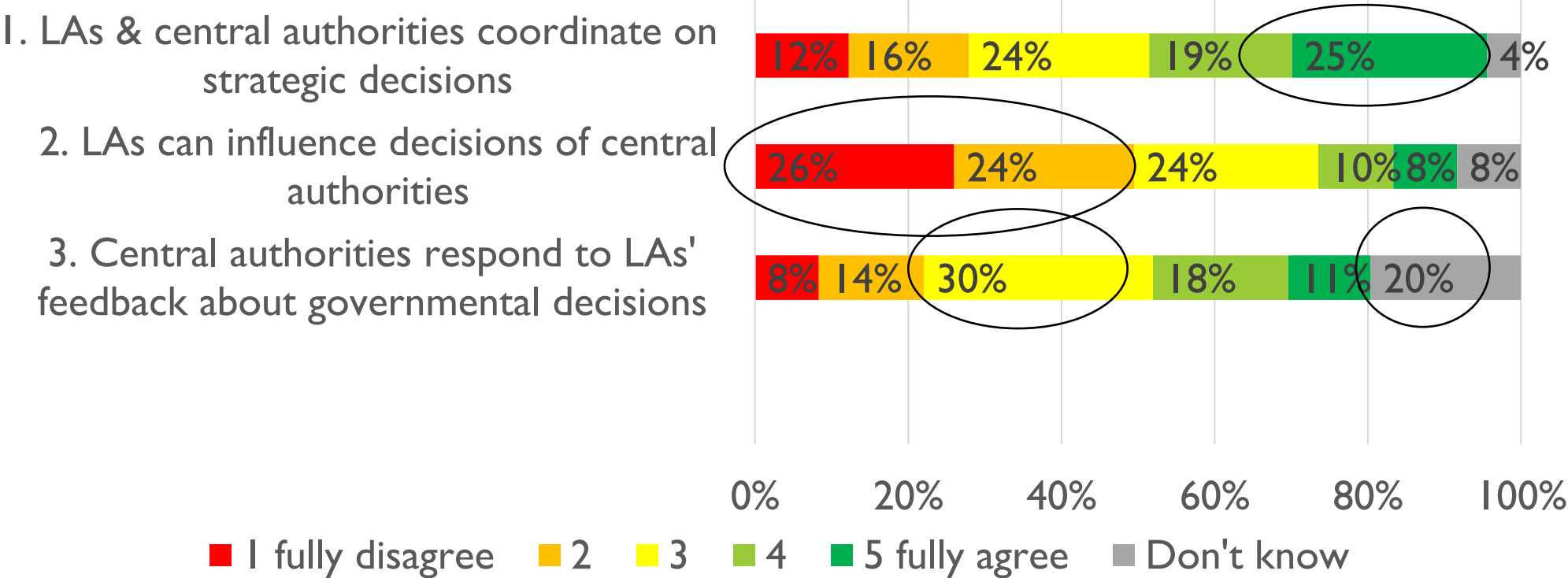
INFORMATION EXCHANGE WITH MILITARY ADMINISTRATIONS & CENTRAL AUTHORITIES



Note: n = 204 (liberated and rear communities at the time of the survey)
 Question: To what extent do you agree or disagree with the following statement (note: see statements 1-3 on the Figure). Choose one: 1 – fully disagree, 5 – fully agree, Don't know

COORDINATION ON STRATEGIC DECISIONS BUT LACK OF FEEDBACK & INFLUENCE

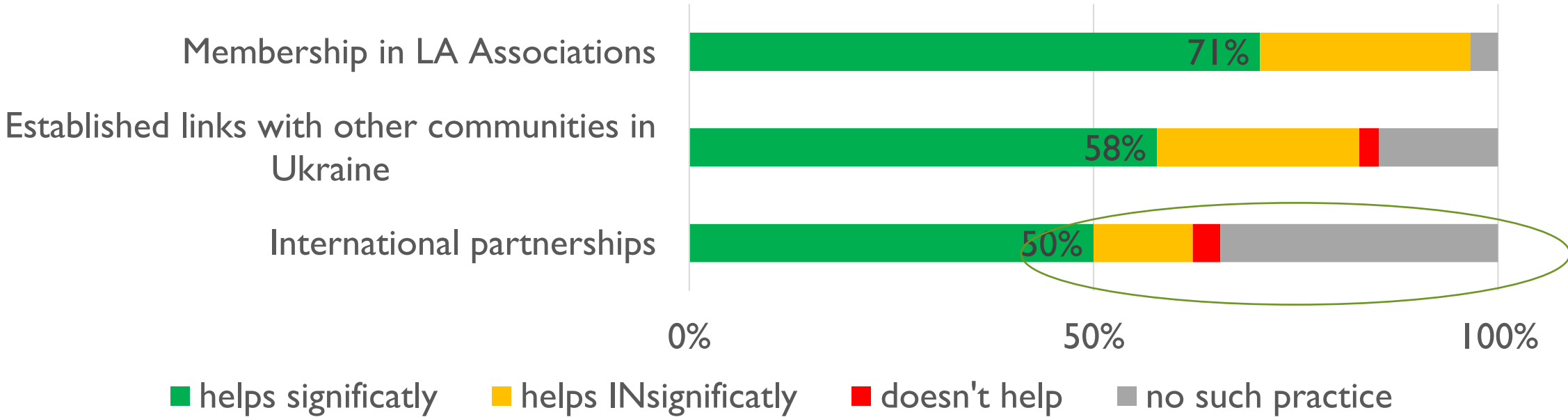
Question: To what extent do you agree or disagree with the following statement (note: see statements 1-4 in the Figure). Choose one: 1 – fully disagree, 5 – fully agree, Don't know



N = 204 (liberated and communities outside combat areas)

LOCAL & INTERNATIONAL MUNICIPAL NETWORKS SUPPORT EMERGENCY RESPONSE

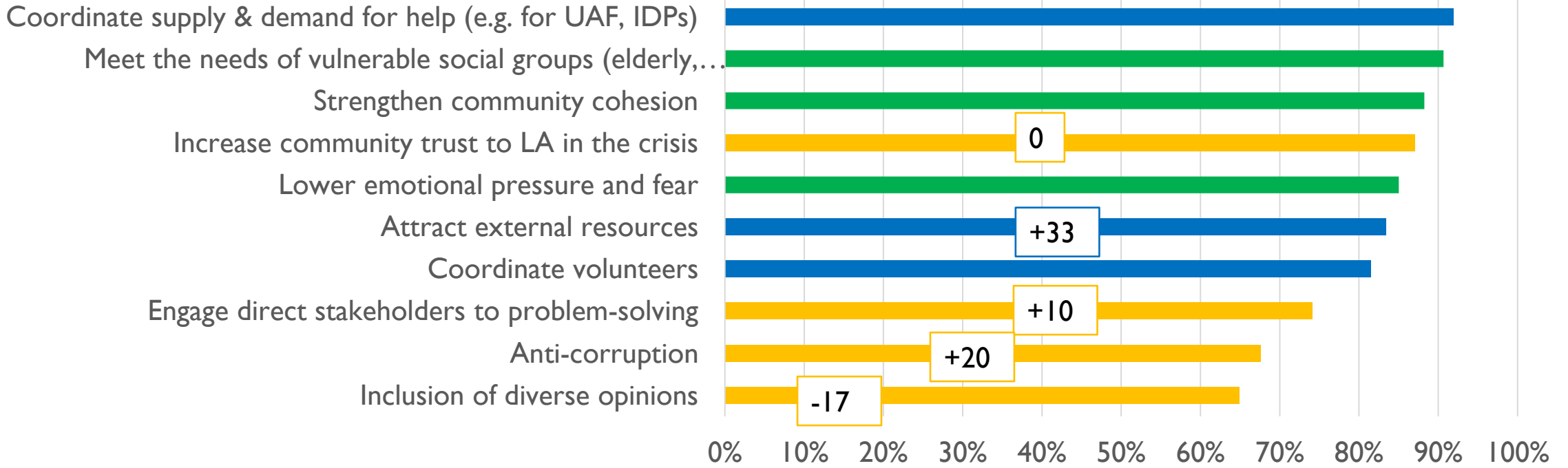
Question: Which of the following helps your community respond to the challenges of the war times and how significantly? Options: helps significantly, helps insignificantly, does not help, there is no such practice.



- *New international partnerships since the invasion: 35%*
- *Mechanisms mainly based on personal contacts, but also twinning agreements and proactive stance of partners*

LA_s CONNECT TO SELF-ORGANISATION CAPACITY OF THEIR COMMUNITIES

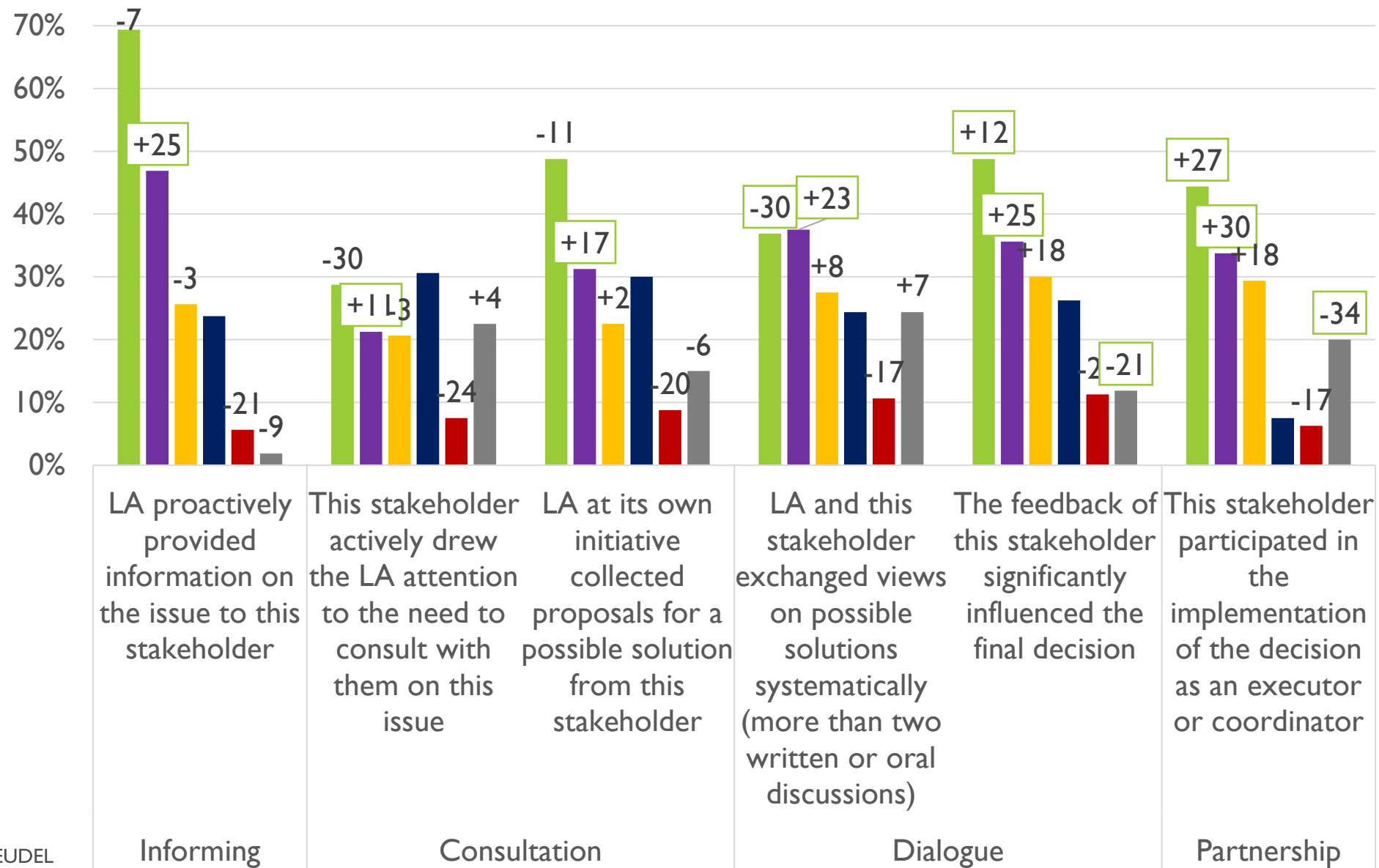
What was the purpose, with which LA in your community introduce initiatives on informing and/or engaging citizens or business after 24.02.2022? [Figures in boxes indicate change vs 2021, similar questions]



n opinions = 134, n needs of vulnerable groups = 150, n community cohesion = 153, n reduce fear = 147, n engage direct stakeholders = 143, n trust = 147, n anti-corruption = 139, n coordinate volunteers = 146, n attract resources = 145, n coordinate demand & supply = 149, n reduce chaos = 147

- █ pragmatic
- █ community well-being
- █ good governance

LA's ENGAGE PUBLIC DEEPER & WIDER IN COMPARISON TO 2021



Question: Indicate which stakeholders and how were involved in solving the problem, you identified in the previous question. Multiple stakeholders could be selected.
 n = 160 (those rear and liberated communities, which confirmed having public informing and participation initiatives).

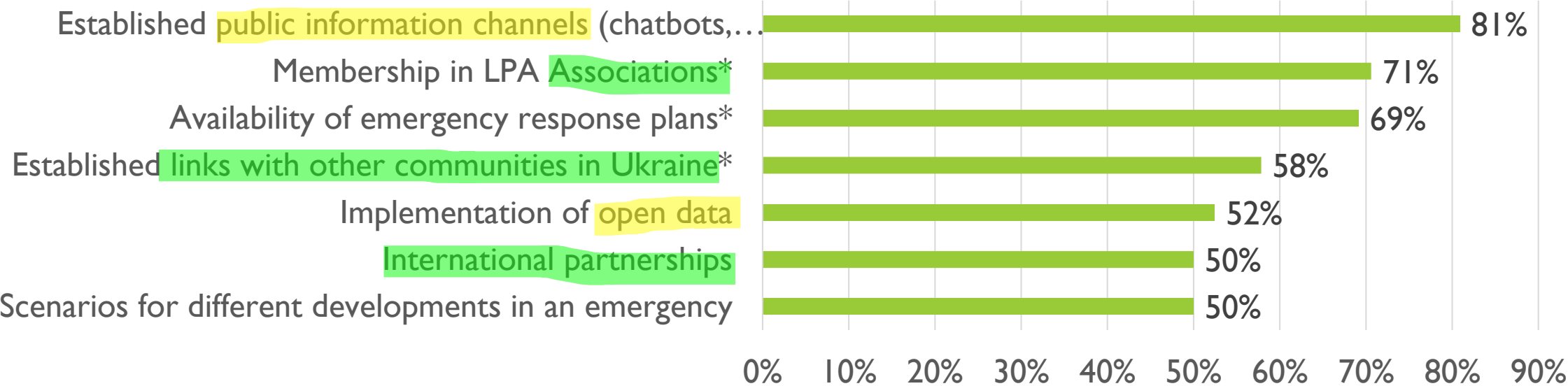
- Residents
- Entrepreneurs
- NGOs
- IDPs
- Experts
- None of these

FINDINGS' SUMMARY

- 1. Decentralization reform** contributed to favourable conditions for resilience and local authorities proved to be the backbone of societal resilience in Ukraine => complete decentralization reform for **polycentric security**
- 2. Collaborative governance** supports **legitimate** emergency response => security in a democracy
- 3. Citizens as partners** vs citizens as clients => towards **new social contract** in Ukraine

PRE-EXISTING PRACTICES SUPPORTING CRISIS RESPONSE

Question: Which of the following helps your community respond to the challenges of the war times and how significantly?
 Respondents could choose all that apply from 17 practices.



N = 204 (liberated and rear communities at the time of the survey)
 Options: helps significantly, helps insignificantly, does not help, there is no such practice. This Figure presents all options that received 50%+ of “helps significantly” answers. Options marked* were also marked as helpful by communities who were at the frontline or occupied at the time of the survey (they had a list of 11 practices).